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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

4	SECURITIES AND EXCHANGE COMMISSION,)	Case No. 3:16-cv-1386
5	)	[PROPOSED] ORDER
	Plaintiff,)	GRANTING THE
6	)	RECEIVER'S
	v.)	ADMINISTRATIVE
7	)	APPLICATION PURSUANT
	JOHN B. BIVONA; SADDLE RIVER)	TO L.R. 7-11 FOR THE USE
8	ADVISERS, LLC; SRA MANAGEMENT)	OF A PUBLICATION
	ASSOCIATES, LLC; FRANK GREGORY)	NOTICE OF CLAIM
9	MAZZOLA)	PROCEDURE AND CUT-OFF
	)	DATE
10	Defendants.)	
11	)	Date: N/A
12	)	Time: N/A
		Judge: Edward M. Chen

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14   The Receiver in the above matter, Sherwood Partners, Inc.

15 ('Sherwood"), requests that this Court approve its Application pursuant

16 to L.R. 7-11 for the use of a publication notice, in the form of Exhibit A to

17 the Application, to reach potential investors and creditors who may not

18 be identified in the files of the defendants, and therefore may not be

19 aware of the claim process approved by the Court.

20   The Receiver makes this application on the basis that the

21 recommended publication, the Wall Street Journal, has the breadth and

22 reach of a national and international publication, and is likely to reach

23 the greatest universe of potentially affected investors and creditors.

24 Moreover, since the defendants' records reflect that affected creditors

25 and investors reside throughout the United States, and in various

26 foreign countries, the use of any local publications either in the

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[PROPOSED] ORDER GRANTING APPLICATION FOR NOTICE BY PUBLICATION

1   Northern District, or other federal districts is not likely to reach the

2   greatest number of affected creditors and investors.

3   The Receiver also represents that the affected parties to this

4   matter have been involved in the drafting of the proposed notice and

5   the selection of the Wall Street Journal, and no affected party opposes

6   this recommendation or the costs of publication set forth in Exhibit B to

7   the Application.

8   GOOD CAUSE APPEARING, the Court hereby authorizes the

9   Receiver to publish the notice set forth in Exhibit A for the projected

10 costs set forth in Exhibit B to its Application.

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12 IT IS SO ORDERED.

13 DATED: December __18,2017

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15 Judge Edward M. Chen
    United States District Court

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