

1 KATHY BAZOIAN PHELPS (State Bar No. 155564)
kphelps@diamondmccarthy.com
2 DIAMOND MCCARTHY LLP
3 1999 Avenue of the Stars, Suite 1100
Los Angeles, California 90067-4402
4 Telephone: (310) 651-2997

5 *Successor Receiver*

6 **UNITED STATES DISTRICT COURT**
7 **NORTHERN DISTRICT OF CALIFORNIA**
8 **SAN FRANCISCO DIVISION**

10 SECURITIES AND EXCHANGE
COMMISSION,

11 Plaintiff,

12 v.

13 JOHN V. BIVONA; SADDLE RIVER
14 ADVISORS, LLC; SRA
MANAGEMENT ASSOCIATES,
15 LLC; FRANK GREGORY
MAZZOLA,

16 Defendants, and

17 SRA I LLC; SRA II LLC; SRA III
18 LLC; FELIX INVESTMENTS, LLC;
MICHELE J. MAZZOLA; ANNE
19 BIVONA; CLEAR SAILING GROUP
IV LLC; CLEAR SAILING GROUP V
20 LLC,

21 Relief Defendants.

Case No. 3:16-cv-01386-EMC

**NOTICE OF ADMINISTRATIVE MOTION
BY RECEIVER KATHY BAZOIAN PHELPS
PURSUANT TO LOCAL CIVIL RULE 7-11
FOR ORDER APPROVING SALE AND
DISTRIBUTION OF PUBLICLY TRADED
SECURITIES PURSUANT TO
DISTRIBUTION PLAN**

Date: No Hearing Set
Time: No Hearing Set
Judge: Edward M. Chen

22
23
24 **TO ALL INTERESTED PARTIES:**

25 **PLEASE TAKE NOTICE THAT** under Local Civil Rule 7-11, Kathy Bazoian Phelps,
26 the successor receiver herein (the “Receiver”) of SRA Management Associates, LLC, SRA I,
27 LLC, SRA II, LLC, SRA III, LLC, SRA Management Associates, Clear Sailing Group IV, LLC,
28 Clear Sailing Group V, LLC, Felix Multi-Opportunity Fund I, LLC, Felix Multi-Opportunity

1 Fund II, LLC, Felix Management Associates, LLC, NYPA Fund I, LLC, NYPA Fund II, LLC,
2 NYPA Management Associates, LLC and Solis Associates Fund LLC, hereby files this Motion
3 for Order Approving Sale and Distribution of Publicly Traded Securities Pursuant to Distribution
4 Plan (the “Motion”).

5 The Motion is based upon this Notice of Motion, the Motion, the Declaration of the
6 Receiver and eight accompanying exhibits, and the proposed order. In addition to service by
7 ECF, the Receiver intends to serve this Notice, the Motion and the supporting documents on all
8 interested parties using a confidential email list, and to post this Notice, the Motion and
9 supporting documents on the Receivership website. *See* Civil L.R. 66-6.

10 **PLEASE TAKE FURTHER NOTICE THAT** any opposition to the Motion must be filed
11 within four days of the filing of the Motion. *See* Civil Local Rule 7-11.

12
13 Respectfully submitted,

14 DATED: July 13, 2020

By: /s/ Kathy Bazoian Phelps
Kathy Bazoian Phelps
Receiver